Docket:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Rolf Marggi

Inventor:

Application No.:

09/934,101

Filing Date:

August 20, 2001

Title:

Catheter Head for Subcutaneous

Administration of an Active Substance

Examiner:

Unknown

Group Art Unit:

3763

## TRANSMITTAL LETTER

Commissioner for Patents Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this <u>4</u> day of <u>Decombus</u>, 2001.

Frances Esol

Dear Sir:

The following documents are enclosed in connection with the above-referenced parent 2001 TECHNOLOGY CENTER R3700 application:

- Information Disclosure Statement; 1.
- PTO Form 1449 for IDS; 2.
- Copies of thirty-three (33) cited references; and 3.
- a Return Receipt Postcard. 4.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: Dec 4, 2001

David E. Bruhn (Reg. No. 36,762)

**Suite 1500** 

50 South Sixth Street

Minneapolis, Minnesota 55402-1498

Telephone: 612-340-6317

Docket: 14100

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### INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR 1.97(b)**

Commissioner for Patents Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 4 day of Decen

Dear Sir:

Pursuant to 37 CFR 1.97(b), the references listed on the attached Form PTO-1449 TECHNOLOGY CENTER R370 ts, submitted in duplicate) are brought to the attention of the E (2 sheets, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the identified references are enclosed as necessary. This IDS is being filed before the mailing of a first office action on the merits. In accordance with 37 CFR 1.97(b), no statement or fee is required.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: Dec 4, 200/

David E. Bruhn (Reg. No. 36,762)

Suite 1500

50 South Sixth Street

Minneapolis, Minnesota 55402-1498

Telephone: 612-340-6317



Form PTO-1449 U.S DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO. 14100

APPLICATION NO. 09/934,101

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) APPLICANT Rolf Marggi

FILING DATE
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GROUP ART UNIT 3763

#### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Inventor Name	Class	Sub- class	Filing Date (if appropriate)
	3,783,868	1/8/1974	Bokros	128	260	
	4,436,519	3/13/1984	O'Neill	604	175	
	4,475,548	10/9/1984	Muto	128	207.14	
	4,537,593	8/27/1985	Alchas	604	411	ICD.
	4,585,439	4/29/1986	Michel	604	. 155	V
	4,682,981	7/28/1987	Suzuki et al.	604	158	5001
	4,880,412	11/14/1989	Weiss	604	1,650 %	CENTER POTOL
	4,886,501	12/12/1989	Johnston et al.	604	175	CENTERTION
	4,966,588	10/30/1990	Rayman et al.	604	165, LOG	
	5,092,849	03/03/1992	Sampson	604	175	
	5,033,476	7/23/1991	Kasai	128	764	
	5,084,060	1/28/1992	Freund et al.	606	192	
	5,104,389	4/14/1992	Deem et al.	604	264	
	5,171,231	12/15/1992	Heiliger	304	263	
	5,209,739	5/11/1993	Talalay	604	195	•
	5,281,199	1/25/1994	Ensimnger et al.	604	93	1
	5,286,453	2/15/1994	Pope	422	100	
	5,337,756	8/16/1994	Barbier et al.	128	763	
	5,429,609	7/4/1995	Yoon	604	167	
	5,460,616	10/24/1995	Weinstein et al.	604	167	
	5,466,230	11/14/1995	Davila .	604	256	

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Country	Class	Sub- class	Translation
	AU	5861273	1/30/1975	Australia			No
	wo	9415660	7/21/1994	PCT		_	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	
Initial	Document Description

EXAMINER	DATE CONSIDERED

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	5,474,534	12/12/1995	Weiss			
	5,545,143	8/13/1996	Fischell	604	180	
	5,573,510	11/12/1996	Isaacson	604	158	ここのにい
	5,776,125	7/7/1998	Dudar et al.	606	411	1 20
	5,782,817	7/21/1998	Franzel et al.	604	256	DEC 2 1 20
	5,814,026	9/29/1998	Yoon	604	280	, agy CEN
	5,827,244	10/27/1998	Boettger	604	283	TECHNULO
	6,010,494	1/4/2000	Schafer et al.	604	533	
	6,071,265	6/6/2000	Bestetti et al.	604	256	
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Form PTO-1449 U.S DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 14100	APPLICATION NO. 09/934,101
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Rolf Marggi	7097
(use several sheets if necessary)	FILING DATE August 20, 2001	GROUP ART UNIT 3763

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Examiner   Document Description	EXAMINER		DATE CONSIDERED			
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Form PTO-1449 U.S DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO.	APPLICATION NO. 09/934,101
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary)	APPLICANT Rolf Marggi	97
	FILING DATE August 20, 2001	GROUP ART UNIT 3763
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